

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Gränges Finspång AB

CERTIFICATE NUMBER

161

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

DNV BUSINESS
ASSURANCE
SERVICES UK LTD.

DATE OF ISSUE

23 NOVEMBER 2024

DATE OF EXPIRY

22 NOVEMBER 2027

CERTIFIED SINCE

23 NOVEMBER 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Design and manufacture of rolled
strip and sheet of Aluminium at
Gränges Finspång (Sweden).

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

| | |
|-------------------------|--|
| MEMBER NAME | Gränges |
| ENTITY NAME | Gränges Finspång AB |
| CERTIFICATION SCOPE | Design and manufacture of rolled strip and sheet of Aluminium at Gränges Finspång (Sweden). |
| SUPPLY CHAIN ACTIVITIES | <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse |
| ASI STANDARD | Chain of Custody Standard V2 |
| AUDIT TYPE | <ul style="list-style-type: none">Initial Certification Audit (24 – 25 August 2021)Re-Certification Audit and Scope Change (22 – 24 January 2025) |
| AUDIT FIRM | DNV Business Assurance Services UK Ltd. |
| AUDIT DATE | <ul style="list-style-type: none">24 – 25 August 2021 (Initial Certification Audit)22 – 24 January 2025 (Re-Certification Audit and Scope Change) |
| AUDIT REPORT SUBMISSION | <ul style="list-style-type: none">12 October 2021 (Initial Certification Audit)17 March 2025 (Re-Certification Audit and Scope Change) |
| AUDIT SCOPE | <p><u>Initial Certification Audit (24 – 25 August 2021)</u></p> <p>The Audit Scope includes the design and manufacture of rolled strip and sheet of Aluminium Gränges Finspång (Sweden).</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">CasthousesPost-Casthouse <p>All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit (22 – 24 January 2025)</u></p> <p>The Audit Scope included the design and manufacture of rolled strip and sheet of Aluminium at Gränges Finspång (Sweden).</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p> |

AUDIT OUTCOME Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
 - The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 23 November 2024 – 22 November 2027

NEXT AUDIT TYPE Re-Certification Audit

NEXT AUDIT DUE DATE 22 November 2027

CERTIFICATE NUMBER 161



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Gränges Finspång AB ('the Entity') was established in 1896 and has a long history of Aluminium rolling. The Entity's production site in Finspång, Sweden, includes remelting, casting, hot rolling, cold rolling, heat treatments, slitting, packaging, and development of rolled Aluminium. The Entity is considered as a global leader in Aluminium rolling and recycling in selected niches, committed to creating circular and sustainable Aluminium solutions in partnership with its customers and suppliers. Customers are in the automotive, packaging, heating and cooling, batteries and building industries. Current annual production is approximately 90,000 tonnes. The Entity currently approximately 550 Workers, including over 110 women. Gränges Finspång AB is located in Finspång in Östergötland, Sweden.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

| | OVERALL |
|--------------------|-------------|
| SYSTEMS | High |
| RISKS | High |
| PERFORMANCE | Medium |
| OVERALL | HIGH |

FINDINGS

| CRITERION | RATING | COMMENT |
|--|-------------|---|
| 1. MANAGEMENT SYSTEM AND RESPONSIBILITIES | | |
| 1.1 ASI Membership | Conformance | The Entity is an ASI Member and achieved certification to the ASI Performance Standard in July 2022, and is published at: https://aluminium-stewardship.org/about-asi/asi-member-listing/ |
| 1.2 CoC Management System | Conformance | The Entity has implemented a Management System that addresses all applicable requirements of the ASI Chain of Custody (CoC) Standard. |
| 1.3 CoC Management System Monitoring | Conformance | The annual review of the Entity's CoC Procedure is held in conjunction with the annual Management System process review, named 'Företagsledningens genomgång'. |
| 1.4 Management Representative | Conformance | The Entity has nominated the Sustainability Director as the Management Representative having overall responsibility and authority for the Entity's conformance with all applicable requirements of the ASI CoC Standard. |
| 1.5 Communications and Training | Conformance | The Entity has established a plan for the training of relevant personnel, focused on personnel working in supply chain, customer care and sustainability sectors. |
| 1.6 Records Management | Conformance | The Entity has established systems to maintain 'up to date' records addressing all applicable requirements of the ASI CoC Standard. These records will be retained for a minimum of five years. |
| 1.7a Reporting to ASI (Inputs and Outputs of CoC Material) | Conformance | The Entity has established procedures to report Input and Output Quantities of CoC Material over the calendar year to the ASI Secretariat as applicable. The Entity has appropriately reported these values to ASI. |
| 1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap) | Conformance | The Entity has established procedures to report information on Inputs and Outputs of Eligible Scrap to the ASI Secretariat, as required. |
| 1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material) | Conformance | The Entity has established procedures to report Input and Output Quantities of Non-CoC Material over the calendar year to the ASI Secretariat, as required. |
| 1.7d Reporting to ASI (Positive Balance carried over) | Conformance | The Entity has established procedures to report the Positive Balance in the calendar year carried over to the subsequent Material Accounting Period, if any, to the ASI Secretariat, as applicable. The Positive balance is currently zero. |
| 1.7e Reporting to ASI (Positive Balance used) | Conformance | The Entity has established procedures to report the Positive Balance used, if any, to the ASI Secretariat, as applicable. The Positive balance is currently zero. |
| 1.7f Reporting to ASI | Conformance | The Entity has established procedures to report the Internal Overdraw |

| CRITERION | RATING | COMMENT |
|---|-----------------------|---|
| (Internal Overdraw drawn down) | | within the calendar year, if any, to the ASI Secretariat, as applicable. |
| 1.7g Reporting to ASI (Intra-Entity Flows) | Conformance | The Entity has established procedures to track CoC Material Flows and report these flows to the ASI Secretariat. There is only one type of CoC Material Output applicable for the Entity. |
| 2. OUTSOURCING CONTRACTORS | | |
| 2.1 Certification Scope | Minor Non-Conformance | The Entity uses Outsourcing Contractors for the partial treatment of Dross. All suppliers to the Entity are assessed through the Supplier Due Diligence process according to the Entity's Global Responsible Sourcing Policy. The Dross treatment facility however is not currently included in the Entity's CoC Certification Scope. |
| 2.2a Control of CoC Material (Legal ownership or control) | Conformance | The Entity has legal ownership and Control of all CoC Material treated by Outsourcing Contractors. |
| 2.2b Control of CoC Material (No further outsourcing) | Conformance | The Entity confirms that Outsourcing Contractors shall not outsource any processing, treatment or manufacturing of CoC material to any other contractor, which is in accordance with the Entity's Sourcing Policy and contracts. |
| 2.2c Control of CoC Material (Risk assessment) | Conformance | The Entity has a Supplier Code of Conduct which covers social, environmental and ethical sustainability requirements, including the ten principles of UN Global Compact. In the Supplier Code of Conduct and the Responsible Sourcing Policy, the risk of non-compliance is assessed. Sector risks are assigned and recycling is one of the sectors that receives focused assessment. |
| 2.3 Information on Quantity of CoC Material Output and Returned | Conformance | The Entity receives information about the Outsourcing Contractor's Output Quantity of CoC Material and the Quantity of CoC Material returned to the Entity at the conclusion of the Entity's Material Accounting Period. This information formed part of the Mass Balance data sheets provided for the Audit. |
| 2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor | Conformance | The Entity has systems in place to verify that the Quantity of CoC Material Output or returned by Outsourcing Contractor is consistent with the Quantity of CoC Material provided to the Outsourcing Contractor and records Quantities in the Mass Balance data sheets. |
| 2.5 Error (Outsourcing Contractor) | Conformance | The Entity has established systems and procedures to be used if an error is discovered after CoC Material has been shipped. The Entity and the Outsourcing Contractor will document the error and follow the agreed steps to correct it. The systems and procedures ensure there is full traceability of contracts, weight notes, monthly reports and invoices. |
| 3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM | | |
| 3.1a ASI Bauxite (CoC Certification Scope) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |

| CRITERION | RATING | COMMENT |
|--|----------------|---|
| 3.1b ASI Bauxite (Performance Standard) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.1c ASI Bauxite (Bauxite sourcing) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.2a ASI Alumina (CoC Certification Scope) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.2b ASI Alumina (Performance Standard) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.2c ASI Alumina (Bauxite sourcing) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3b ASI Aluminium (Performance Standard) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3c ASI Aluminium (Alumina sourcing) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3a ASI Aluminium (CoC Certification Scope) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

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|---|-------------|--|
| 4.1a Recycled Aluminium (CoC Certification Scope) | Conformance | The Entity is engaged in Aluminium Re-melting/Refining to produce Recycled Aluminium. The Entity has established systems and procedures to ensure that ASI Aluminium is produced only from Entities that are within its CoC Certification Scope or within the Scope of another ASI CoC Certified Entity. |
| 4.1b Recycled Aluminium (Performance Standard) | Conformance | The Entity is engaged in Aluminium Re-melting/Refining to produce Recycled Aluminium. The Entity has established systems and procedures to ensure that ASI Aluminium is produced only from Entities that are Certified against the ASI Performance Standard. |
| 4.2a Eligible Scrap (Pre-Consumer) | Conformance | The Entity accounts for alloying materials and internal Scrap as Eligible Scrap. Post-Consumer Scrap and Pre-Consumer Scrap are accounted for as non-CoC Material. The Scrap suppliers are not ASI Certified. In the mass balance report (Material Accounting System) information on supplier, type of Scrap, origin, amounts and date of receipt is logged. Traceability for every delivery of material is provided in the Enterprise Resource Planning (ERP) business system. Supplier Due diligence is conducted for all Scrap suppliers. |
| 4.2b Eligible Scrap (Post-Consumer) | Conformance | The Entity is engaged in Aluminium Re-Melting/Refining and accounts only alloying materials and internal Scrap as Eligible Scrap in its Material Accounting System. Post-Consumer scrap is accounted as non-CoC material. All suppliers are subject to the Entity's supplier Due Diligence assessment. |
| 4.2c Eligible Scrap (Dross) | Conformance | The Entity is engaged in Aluminium Re-Melting/Refining and accounts for Eligible Scrap in its Material Accounting System. Aluminium |

| CRITERION | RATING | COMMENT |
|--|-------------|--|
| | | recovered from Dross is accounted for as non-CoC material. All suppliers of Aluminium Scrap material are subject to the Entity's supplier Due Diligence assessment. |
| 4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers) | Conformance | Due diligence is performed for all suppliers, including the onboarding of new suppliers. Self-assessments and third-party platforms are used to assess the Entity's suppliers. The identity of all direct Scrap suppliers and their address and place of operation is stored in the supplier registry. |
| 4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions) | Conformance | All financial transactions with Scrap suppliers are recorded. The Entity's financial accounts are audited annually by financial auditors. No cash payments are allowed. |

5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM

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| 5.1a ASI Aluminium (CoC Certification Scope) | Conformance | Control procedures and systems have been established to ensure that Primary Aluminium only is sourced from ASI Certified Entities, and that the classification of Recycled Aluminium is implemented. Identification numbers are marked on every slab (a physical stamp) and there is documentation with specification and identification (ID) following every delivery of Material to customers. Material can be tracked through the entire production process. The production systems were demonstrated during the on-site component of the Audit. |
| 5.1b ASI Aluminium (Performance Standard) | Conformance | The Facility has established procedures to ensure that Primary Aluminium is sourced from ASI Performance Standard Certified Entities. The procedures and production systems were demonstrated during the Audit. |
| 5.1c ASI Aluminium (Aluminium sourcing) | Conformance | Control procedures and systems have been established to ensure that Primary Aluminium only is sourced from ASI Certified Entities, and that the classification of Recycled Aluminium is implemented. |
| 5.2 Unique Identification | Conformance | The Entity's Material Accounting System demonstrated that systems are in place to ensure that unique identification numbers, with physical ID stamped on ASI Aluminium slabs, can be linked to the Input Quantity of CoC Material for the specific Material Accounting Period. |

6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM

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| 6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope) | Conformance | Control procedures and systems have been established to ensure that Post-Casthouse ASI Aluminium is produced using metal from only ASI Certified Entities. |
| 6.1b Post-Casthouse ASI Aluminium (Performance Standard) | Conformance | The Entity has established systems to ensure that it produces ASI Aluminium only from an Entity/Facility already Certified against the ASI Performance Standard and ASI Chain of Custody Standard. Material sourced from Entities not certified according to the ASI Standards is classified as Non-CoC Material. |
| 6.1c Post-Casthouse ASI Aluminium (Aluminium) | Conformance | The Entity has established systems to ensure that it produces ASI Aluminium only from an Entity/Facility that sources ASI Aluminium |

| CRITERION | RATING | COMMENT |
|--|-------------|--|
| sourcing) | | directly from another ASI Chain of Custody Certified Entity, or via a metals trader or warehouse where the ASI Chain of Custody Certified Entity can supply or verify the associated CoC Document containing the required Information. |
| 7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL | | |
| 7.1a Responsible Sourcing Policy (Anti-corruption) | Conformance | <p>The Entity has established a Supplier Code of Conduct which includes anti-Corruption measures. The Entity has established policies and routines for responsible sourcing which were extensively reviewed, alongside the relevant criteria in the Performance Standard.</p> <p>The Supplier Code of Conduct is disclosed at: https://www.granges.com/globalassets/04.-hallbarhet/02.-strategy-and-governance/08.-policies/sustainability-policies-2024/gp2.11-supplier-code-of-conduct-2024-02-22.pdf</p> <p>The Responsible Sourcing Policy is disclosed at: https://www.granges.com/globalassets/04.-hallbarhet/02.-strategy-and-governance/08.-policies/sustainability-policies-2024/gp2.10-global-responsible-sourcing-policy-2024-02-22.pdf</p> |
| 7.1b Responsible Sourcing Policy (Responsible sourcing) | Conformance | <p>The Entity has established a Responsible Sourcing Policy and Procedures and a Supplier Code of Conduct which covers social, environmental and ethical sustainability requirements. Implementation of sourcing procedures was demonstrated during the Audit.</p> <p>The Supplier Code of Conduct is disclosed at: https://www.granges.com/globalassets/04.-hallbarhet/02.-strategy-and-governance/08.-policies/sustainability-policies-2024/gp2.11-supplier-code-of-conduct-2024-02-22.pdf</p> <p>The Responsible Sourcing Policy is disclosed at: https://www.granges.com/globalassets/04.-hallbarhet/02.-strategy-and-governance/08.-policies/sustainability-policies-2024/gp2.10-global-responsible-sourcing-policy-2024-02-22.pdf</p> |
| 7.1c Responsible Sourcing Policy (Human rights due diligence) | Conformance | <p>The Entity's Supplier Code of Conduct addresses Human Rights principles and expectations. The Entity's Policies reference the UN Global Compact, UN Universal Declaration of Human Rights, UN Sustainable Development Goals, and the OECD Guidelines for Multinational Corporations. The Policies are disclosed at: https://www.granges.com/sustainability/governance-and-policies/policies/</p> <p>The Entity undertakes regular Due Diligence checks as part of its EcoVadis assessments on the critical suppliers. The Entity's Due Diligence was reviewed extensively during the Audit, alongside similar criteria in the ASI Performance Standard.</p> |
| 7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas) | Conformance | <p>The Entity has implemented a Management System in relation to responsible sourcing, including assessment of Conflict-Affected and High-Risk Areas (CAHRAs). The Management System implementation is led by procurement, with clear roles and responsibilities, policy, supplier screening, risk assessment and escalation processes. The Entity's Responsible Sourcing practices were reviewed extensively during the Audit, alongside similar criteria in the ASI Performance Standard. The Entity's relevant Policies are disclosed at:</p> |

| CRITERION | RATING | COMMENT |
|-------------------------------------|-------------|--|
| | | https://www.granges.com/sustainability/governance-and-policies/policies/ |
| 7.2 Risk Assessment and Mitigation | Conformance | <p>The Entity has implemented a Management System in relation to responsible sourcing with implementation led by the procurement team, with clear supplier screening, risk assessment and escalation processes. The Entity undertakes regular Due Diligence checks as part of its EcoVadis assessments. All significant suppliers must sign the Supplier Code of Conduct.</p> <p>The supplier Due Diligence process has been recently reviewed and updated, meaning more suppliers are subject to screening. Supplier risk assessment is based on country risk and segment risk. The Entity's Responsible Sourcing practices were reviewed extensively during the Audit, alongside similar criteria in the ASI Performance Standard.</p> |
| 7.3 Complaints Resolution Mechanism | Conformance | <p>The Entity operates a 'whistleblowing' function where stakeholders can raise concerns related to Human Rights impacts. For more information, see the Entity's 'whistleblowing' function and Ethical Business Practices webpage at:</p> <p>https://www.granges.com/sustainability/ethical-business-practices/</p> |

8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM

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| 8.1 Material Accounting System | Conformance | The Entity's Management System includes a Material Accounting System. Input Quantity and Output Quantity of ASI CoC Material and Non-CoC Material is registered according to the ASI Chain of Custody requirements. |
| 8.2 Material Accounting Period | Conformance | The Entity's Material Accounting System specifies a Material Accounting Period of 12 months. |
| 8.3 Input and Inflow Quantities | Minor Non-Conformance | The Entity's Management System includes a Material Accounting System. Input Quantity and Output Quantity of ASI CoC Material and Non-CoC Material is registered according to the ASI Chain of Custody requirements. A minor error with Input data was identified by the Entity prior to Audit and is to be rectified through disclosure to ASI. |
| 8.4 Output Quantities of CoC Material | Conformance | The Entity's Management System includes a Material Accounting System. Input Quantity and corresponding Output Quantity of ASI CoC Material and Non-CoC Material is registered according to the ASI Chain of Custody requirements. |
| 8.5 Indivisibility of CoC Material | Conformance | The Output Quantity of any CoC Material is designated as 100% CoC Material. |
| 8.6 Output Quantity of Eligible Scrap | Conformance | The Entity produces Pre-Consumer Scrap from its processing, all of which is used internally. The Entity uses the Input Percentage for the 12-month Material Accounting Period to determine the Output Quantity of Eligible Scrap. |
| 8.7 Consistency Between Input Percentage and Total Output | Conformance | The Entity's Material Accounting System ensures that the total Output of CoC Material and Eligible Scrap does not exceed the Input percentage as applied to total Input of CoC Material and Eligible Scrap over the 12-month Material Accounting Period. |

| CRITERION | RATING | COMMENT |
|---|-------------|---|
| 8.8a Internal Overdraw (Not exceed 20%) | Conformance | A procedure for Internal Overdraw has been implemented by the Entity, stating that the Internal Overdraw shall not exceed 20% of the total Input Quantity of CoC Material for the Material Accounting Period. |
| 8.8b Internal Overdraw (Not exceed force majeure situation) | Conformance | A procedure for Internal Overdraw has been implemented by the Entity, stating that the Internal Overdraw shall not exceed the amount of CoC Material affected by the Force Majeure situation. |
| 8.8c Internal Overdraw (Made up within subsequent Material Accounting Period) | Conformance | A procedure for Internal Overdraw has been implemented by the Entity, stating that any Internal Overdraw shall be made up within the subsequent Material Accounting Period. |
| 8.9a Positive Balance (Carry over) | Conformance | A carry-over of a Positive Balance has not yet occurred. A procedure for managing this scenario has been established. |
| 8.9b Positive Balance (Expiry) | Conformance | A carry-over of a Positive Balance has not yet occurred. A procedure for managing this scenario has been established that includes an expiry period. |

9. ISSUING COC DOCUMENTS

| | | |
|---|-------------|---|
| 9.1 CoC Document | Conformance | A template for the CoC Document has been developed to accompany shipments or transfers of CoC Material to customers. |
| 9.2a CoC Document Content (Date of issue) | Conformance | A template for the CoC Document has been developed to accompany shipments or transfers of CoC Material to customers, which includes the issue date. |
| 9.2b CoC Document Content (Reference number) | Conformance | A template for the CoC Document has been developed to accompany shipments or transfers of CoC Material to customers, which includes the reference number. |
| 9.2c CoC Document Content (Issuing Entity) | Conformance | A template for the CoC Document with all the required information has been developed to accompany shipments or transfers of CoC Material to customers, which includes the issuing Entity information. |
| 9.2d CoC Document Content (Receiving customer) | Conformance | A template for the CoC Document with all the required information has been developed to accompany shipments or transfers of CoC Material to customers, which includes the receiving customer details. |
| 9.2e CoC Document Content (Responsible employee) | Conformance | A template for the CoC Document with all the required information has been developed to accompany shipments or transfers of CoC Material to customers, which includes information on the responsible personnel. |
| 9.2f CoC Document Content (Conformance statement) | Conformance | A template for the CoC Document with all the required information has been developed to accompany shipments or transfers of CoC Material to customers, which includes a conformance statement. |
| 9.2g CoC Document Content (Type of CoC Material) | Conformance | A template for the CoC Document with all the required information has been developed to accompany shipments or transfers of CoC Material to customers, which includes the type of CoC Material. |

| CRITERION | RATING | COMMENT |
|---|----------------|--|
| 9.2h CoC Document Content (Mass of CoC Material) | Conformance | A template for the CoC Document with all the required information has been developed to accompany shipments or transfers of CoC Material to customers, which includes the mass of CoC Material. |
| 9.2i CoC Document Content (Mass of total material) | Conformance | A template for the CoC Document with all the required information has been developed to accompany shipments or transfers of CoC Material to customers, including the total mass of the shipment. |
| 9.3a Sustainability Data (optional) - Carbon footprint | Not Applicable | Sustainability Data are not included on the CoC Document. Sustainability information is available within the Entity's Annual Report: https://www.granges.com/globalassets/05.-investerare/04.-rapporter-och-presentationer/2024/02.-annual-report-2023/granges-annual-and-sustainability-report-2023.pdf |
| 9.3b Sustainability Data (optional) - Origin information | Not Applicable | Sustainability Data are not included on the CoC Document. Sustainability information is available within the Entity's Annual Report: https://www.granges.com/globalassets/05.-investerare/04.-rapporter-och-presentationer/2024/02.-annual-report-2023/granges-annual-and-sustainability-report-2023.pdf |
| 9.3c Sustainability Data (optional) - Recycled content | Not Applicable | Sustainability Data are not included on the CoC Document. Sustainability information is available within the Entity's Annual Report: https://www.granges.com/globalassets/05.-investerare/04.-rapporter-och-presentationer/2024/02.-annual-report-2023/granges-annual-and-sustainability-report-2023.pdf |
| 9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status | Not Applicable | This information is optional and is not currently provided by the Entity. |
| 9.4 Supplementary Information (optional) - Objective evidence | Not Applicable | This information is optional and is not currently provided by the Entity. |
| 9.5 Verification of Information | Conformance | The Entity has established procedures and systems to enable it to respond to reasonable requests for verification of information in CoC Documents issued by the Entity. |
| 9.6 Error (Shipping) | Conformance | If an error relating to the process of shipping ASI CoC Material is discovered, this shall be incorporated and handled in the Entity's Management System. |
| 10. RECEIVING COC DOCUMENTS | | |
| 10.1 Verification of CoC Documents | Conformance | The Entity has established procedures to verify that all required information in received CoC Documents has been included. This procedure is included in the Entity's ASI CoC Management system. |
| 10.2 Verification of Consistency Between CoC Documents and CoC Material | Conformance | The Entity has established procedures to verify the consistency of received CoC Documents with the accompanying CoC Material or Eligible Scrap. |

| CRITERION | RATING | COMMENT |
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| 10.3 Verification of Supplier's ASI CoC Certification | Conformance | The Entity has established processes to ensure that received CoC Documents are controlled, issued and stored in accordance with ASI CoC Standard requirements. This includes regular checks on supplier's ASI CoC Certification status and scope. |
| 10.4 Error (Reception) | Conformance | The Entity has established procedures and processes for error management in alignment with the CoC Management System. |

11. CLAIMS AND COMMUNICATIONS

| | | |
|---|-------------|--|
| 11.1a Claims and Communications (ASI Claims Guide) | Conformance | The Entity ensures that any CoC related claims are consistent with the ASI Claims Guide. At the time of the Audit, claims had been made. |
| 11.1b Claims and Communications (Verifiable evidence) | Conformance | The Entity ensures that any CoC related claims are consistent with the ASI Claims Guide, including verifiable evidence to support the claims. At the time of the Audit, claims had been made. |
| 11.1c Claims and Communications (Employee training) | Conformance | Training processes on the Chain of Custody is set out in the Entity's CoC Management System. If the need arrives, further training on the ASI claims guide can be delivered. At the time of the Audit, claims had been made. |

ASI LIMITATION OF LIABILITY DISCLAIMER

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DOCUMENT CONTROL AND VERSION HISTORY

| REVISION | DATE | NOTES |
|----------|------------------|--|
| 0 | 23 November 2021 | Initial Certification Audit – Full Certification |
| 1 | 11 June 2025 | Re-Certification Audit and Scope Change – Full Certification Scope Change to apply ASI Chain of Custody V2 Addition of supply chain activity 'Aluminium Re-melting/Refining' to more accurately represent the Entity's activities. |